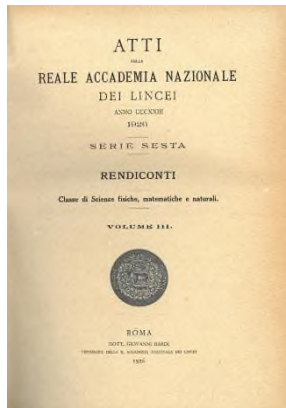


Superfluid helium-3: A fermionic test system for universal concepts in physics

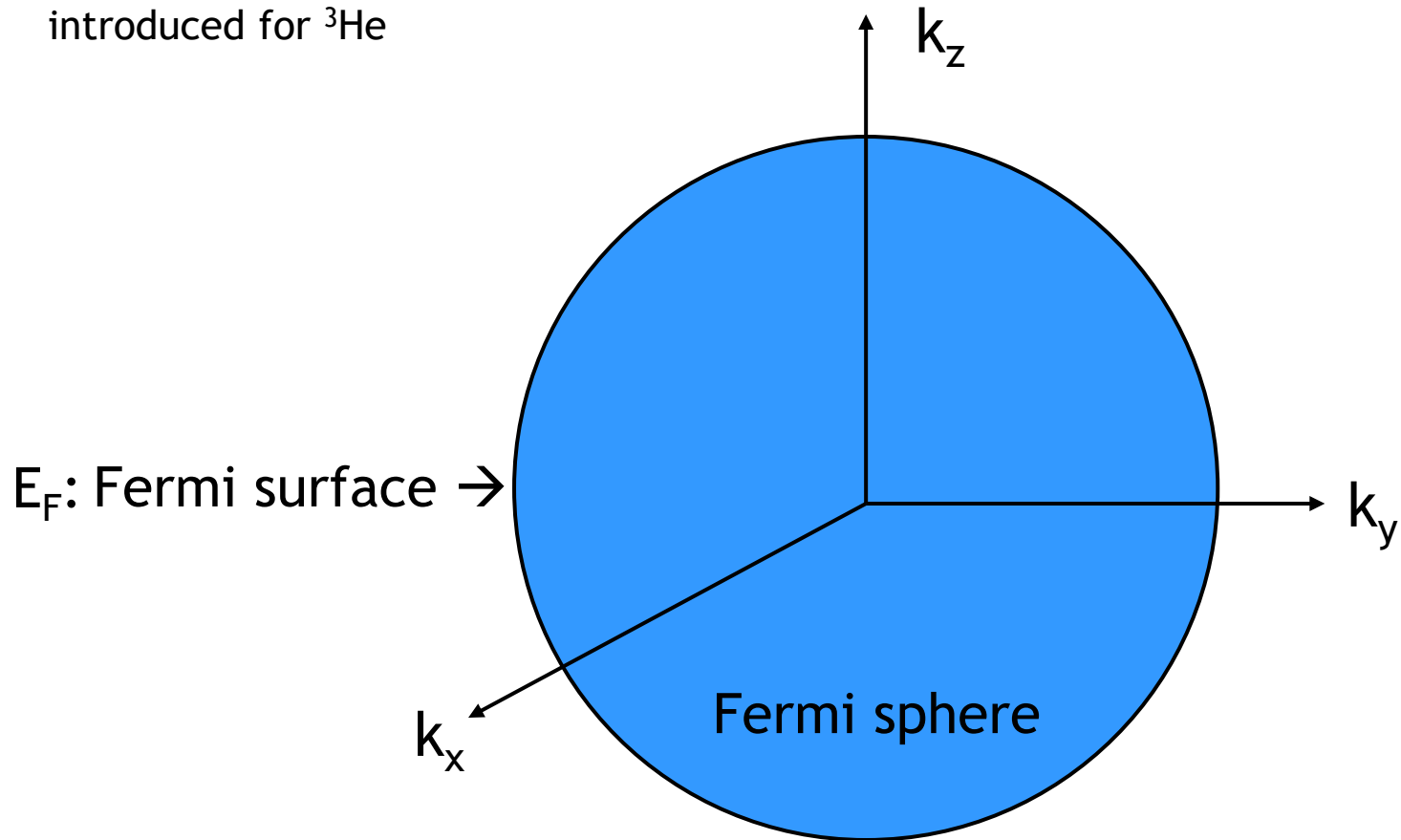
Dieter Vollhardt



Interacting Fermions: Fermi liquid

Landau (1956/57)

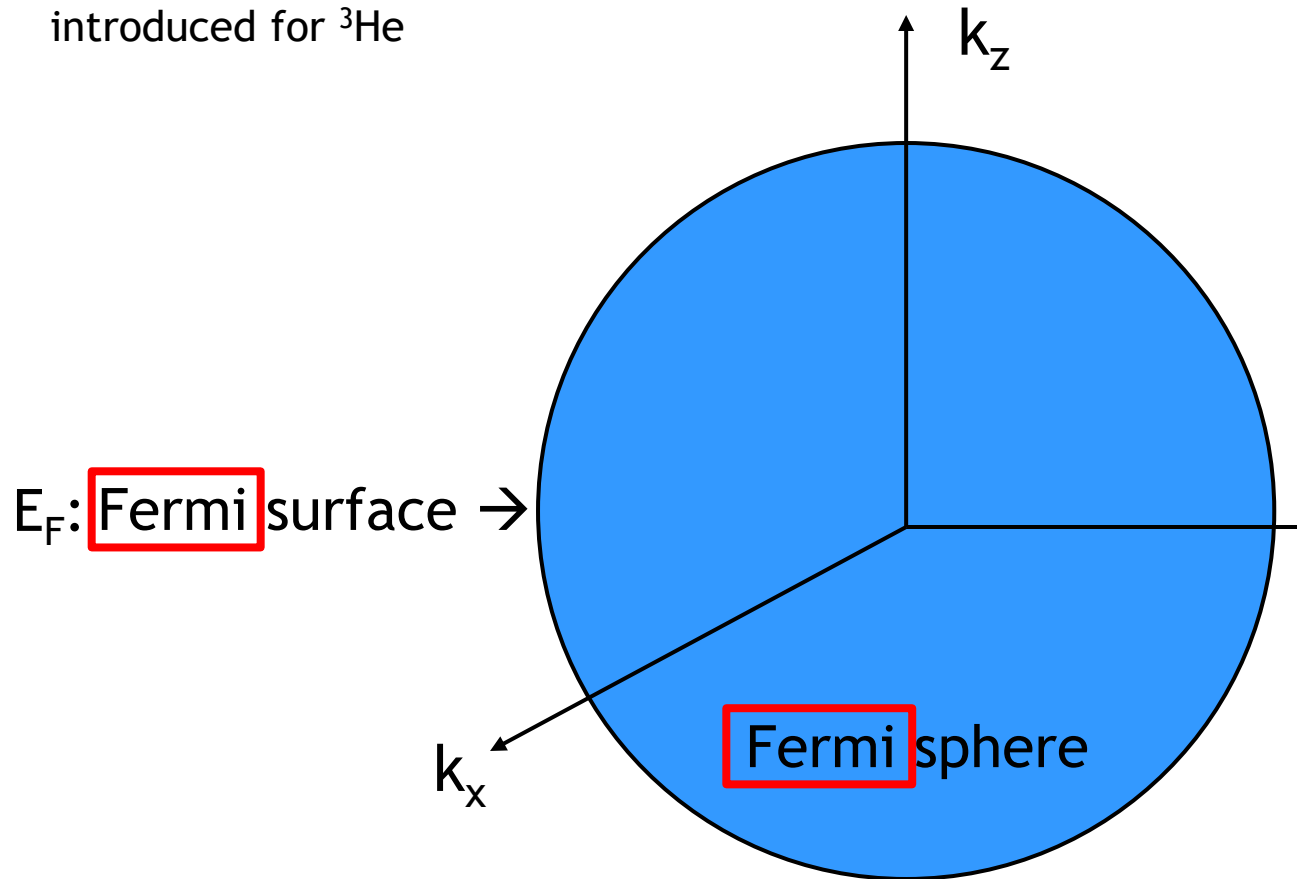
“Standard model of
condensed matter physics”
introduced for ^3He



Interacting Fermions: Fermi liquid

“Standard model of condensed matter physics”
introduced for ^3He

Landau (1956/57)



Fermi: most frequently occurring name in condensed matter physics

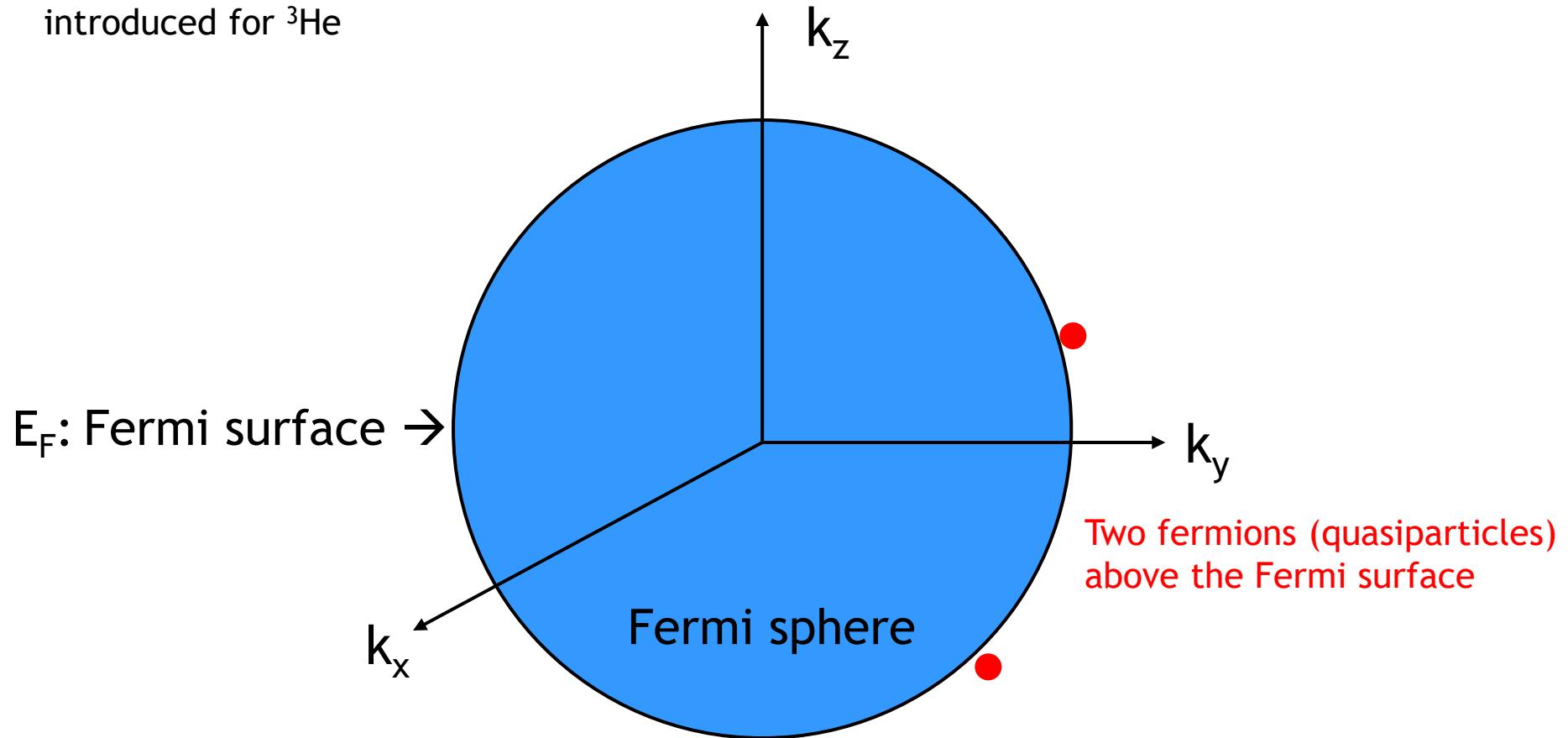
- Fermi–Dirac statistics
- Fermion
- Fermi gas
- Fermi liquid
- Fermi energy E_F
- Fermi level
- Fermi temperature T_F
- Fermi momentum p_F
- Fermi velocity v_F
- Fermi wave vector k_F
- Fermi surface
- Fermi sphere
- Fermi sea
- Fermi's golden rule

...

Interacting Fermions: Fermi liquid

Landau (1956/57)

“Standard model of
condensed matter physics”
introduced for ^3He

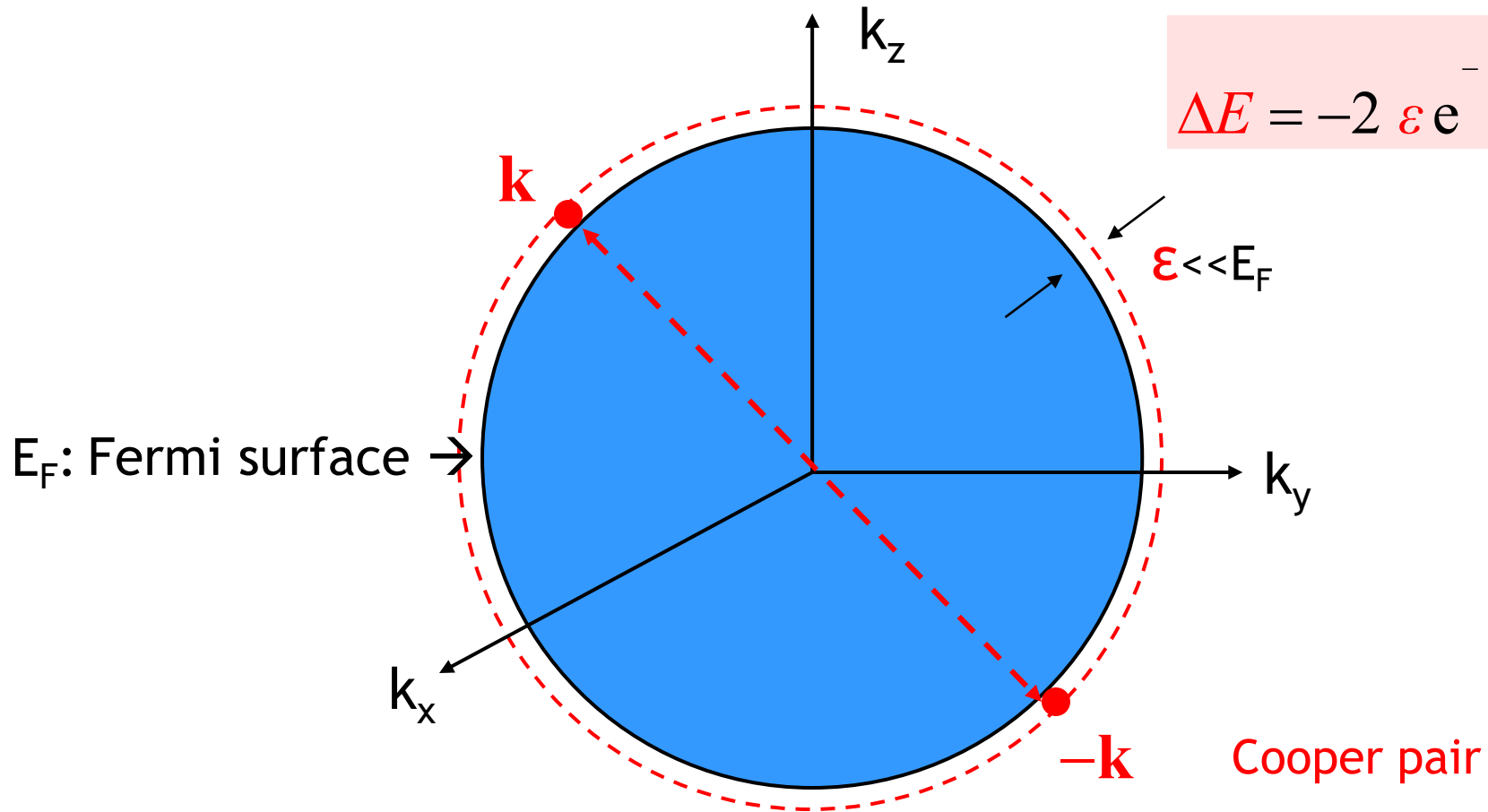


Interacting Fermions: Fermi liquid

Arbitrarily weak attraction $\Rightarrow$ instability

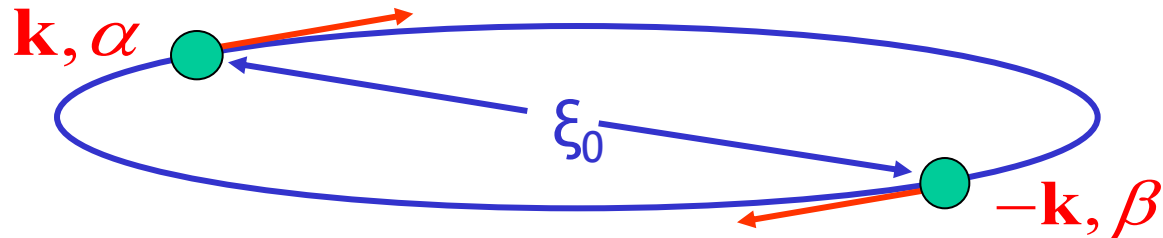
Cooper (1956)

$$\Delta E = -2 \epsilon e^{-\frac{2}{N(0)|V|}}$$



Universal property of Fermi systems

Cooper pair $(\mathbf{k}, \alpha; -\mathbf{k}, \beta)$



$$\Psi_{L=0,2,4,\dots} = \psi(\mathbf{r}) |\uparrow\downarrow - \downarrow\uparrow\rangle$$

$S=0$ (singlet)

$$\begin{aligned} \Psi_{L=1,3,5,\dots} = & \psi_+(\mathbf{r}) |\uparrow\uparrow\rangle \\ & + \psi_0(\mathbf{r}) |\uparrow\downarrow + \downarrow\uparrow\rangle \\ & + \psi_-(\mathbf{r}) |\downarrow\downarrow\rangle \end{aligned}$$

$S=1$ (triplet)

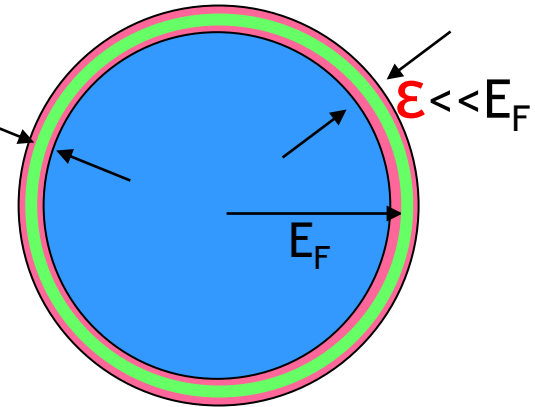
^3He : Strong short-range repulsion $\rightarrow L > 0$ expected Pitaevskii (1959)

BCS theory

Bardeen, Cooper, Schrieffer (1957)

Pair condensate

Energy gap $\Delta(T)$
here: $L=0$ (s-wave)



transition temperature
(weak coupling theory)

$$T_c = 1.13 \epsilon e^{-\frac{1}{N(0)|V_L|}}$$

^3He : ϵ , V_L : Origin? Magnitude? $\rightarrow T_c$?

Long experimental search

Thanksgiving 1971: Transition in ^3He discovered at $T_c = 0.0026 \text{ K}$

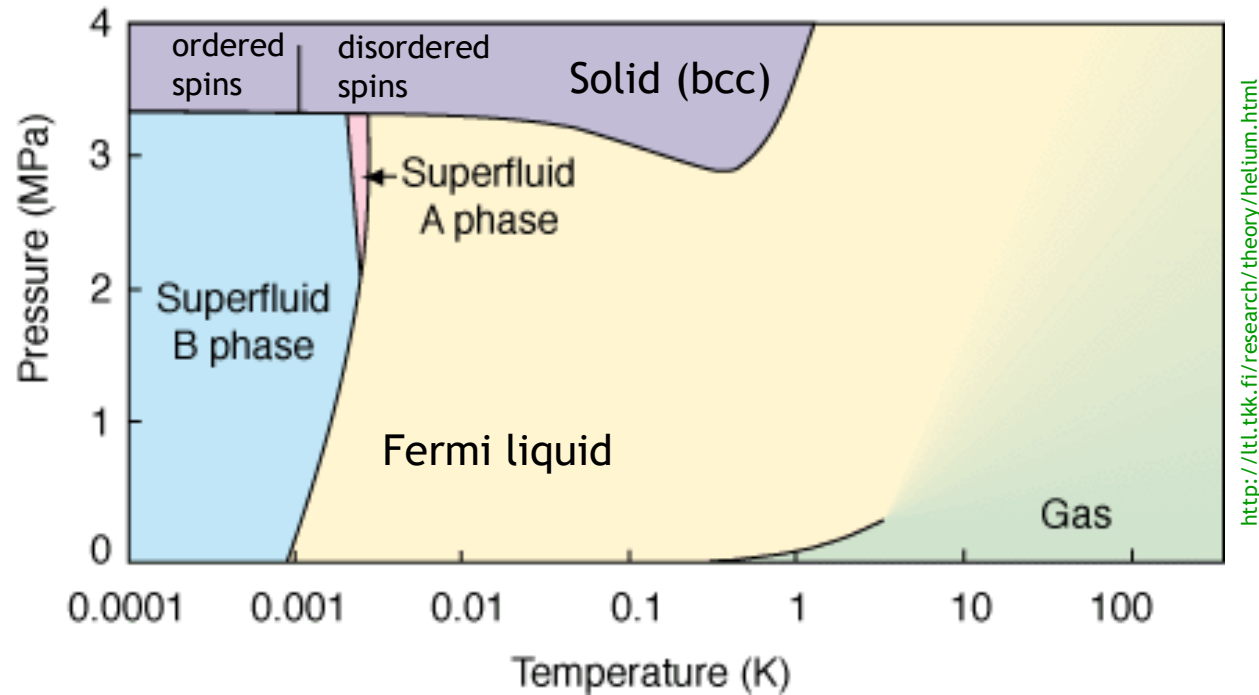
Osheroff, Richardson, Lee (1972)

Osheroff, Gully, Richardson, Lee (1972)

Nobel Prize in Physics 1996

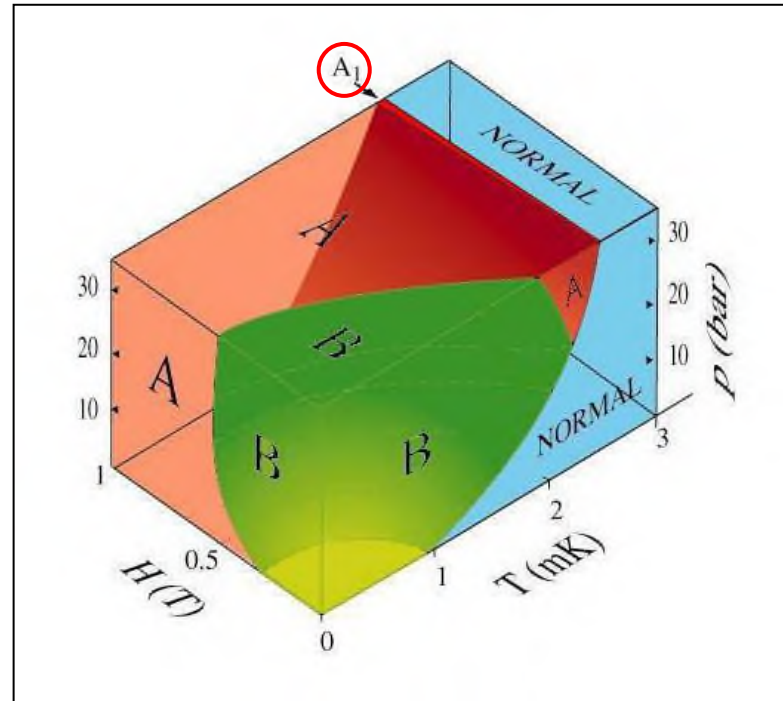
The superfluid phases of ^3He

Phase diagram of helium-3



Dense, simple liquid { isotropic
extremely pure
nuclear spin $S = \frac{1}{2}\hbar \rightarrow$ Fermi-Dirac statistics

Phase diagram of helium-3 in a magnetic field



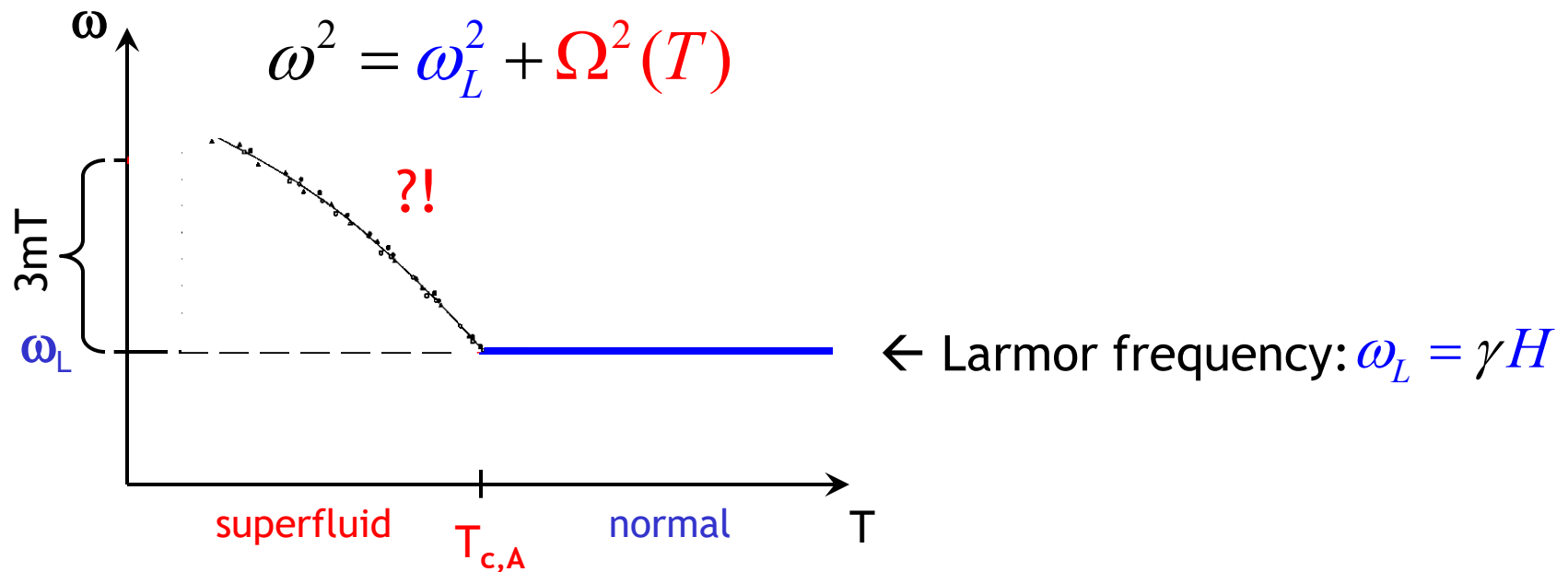
<http://ltd.tkk.fi/images/archive/ab.jpg>

Dense, simple liquid { isotropic
extremely pure
nuclear spin $S = \frac{1}{2}\hbar \rightarrow$ Fermi-Dirac statistics

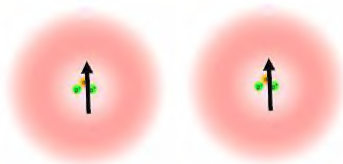
... and a mystery!

NMR experiment on nuclear spins $I = \frac{1}{2} \hbar$

Osheroff, Gully, Richardson, Lee (1972)



Shift of $\omega_L \iff$ spin-nonconserving interactions



$\rightarrow$ nuclear dipole interaction $g_D \sim 10^{-7} K \ll T_c$

Origin of frequency shift ?!

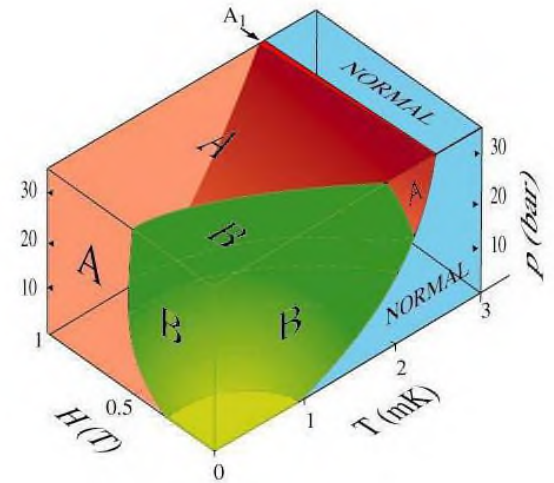
Leggett (1973)

Superfluid ^3He in the early 1970s

Experiment: Osheroff, Richardson, Lee, Wheatley, ...

Theory: Leggett, Anderson, Brinkman, Wölfle, Mermin, Varma, ...

→ $L=1$, $S=1$ (p-wave, spin-triplet) Cooper pairs
in all 3 phases



^3He : Attraction due to strong interaction-induced spin fluctuations

Anderson, Brinkman (1973)

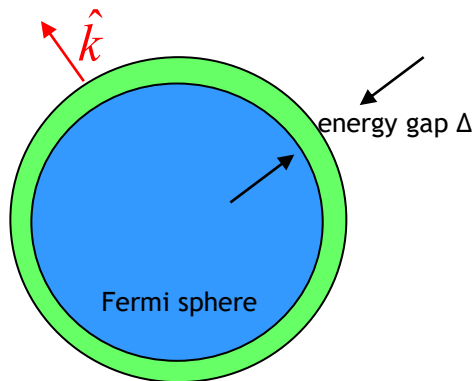
B-phase

All spin states $|\uparrow\uparrow\rangle$, $|\uparrow\downarrow + \downarrow\uparrow\rangle$, $|\downarrow\downarrow\rangle$ occur equally

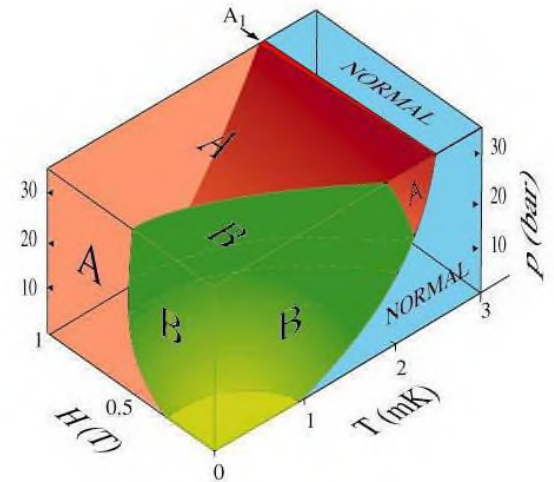
$$\Delta(\hat{k}) = \Delta$$

Balian, Werthamer (1963)
Vdovin (1963)

(pseudo-) isotropic state



→ specific heat $c_V(T) \propto e^{-\Delta/T}$, $T \rightarrow 0$



BCS weak-coupling theory: only the B-phase is stable below T_c

A-phase

Spin states $|\uparrow\uparrow\rangle, |\downarrow\downarrow\rangle$ occur equally

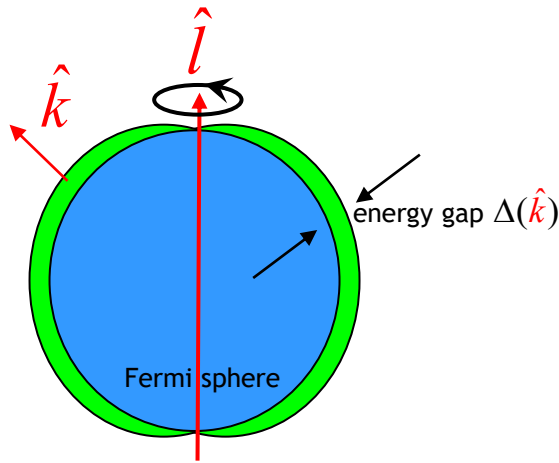
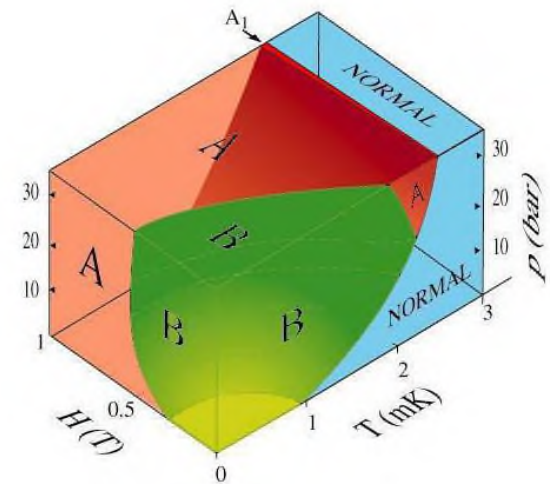
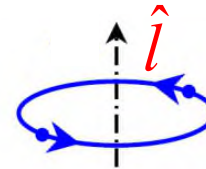
→ strong gap anisotropy

$$\Delta(\hat{k}) = \Delta \sin(\hat{k}, \hat{l})$$

Anderson, Morel (1961)

Energy gap with point nodes

$\hat{l}$: orbital angular momentum of Cooper pairs



“axial state”

→ specific heat $c_V(T) \propto T^3$

→ helped to understand pairing in

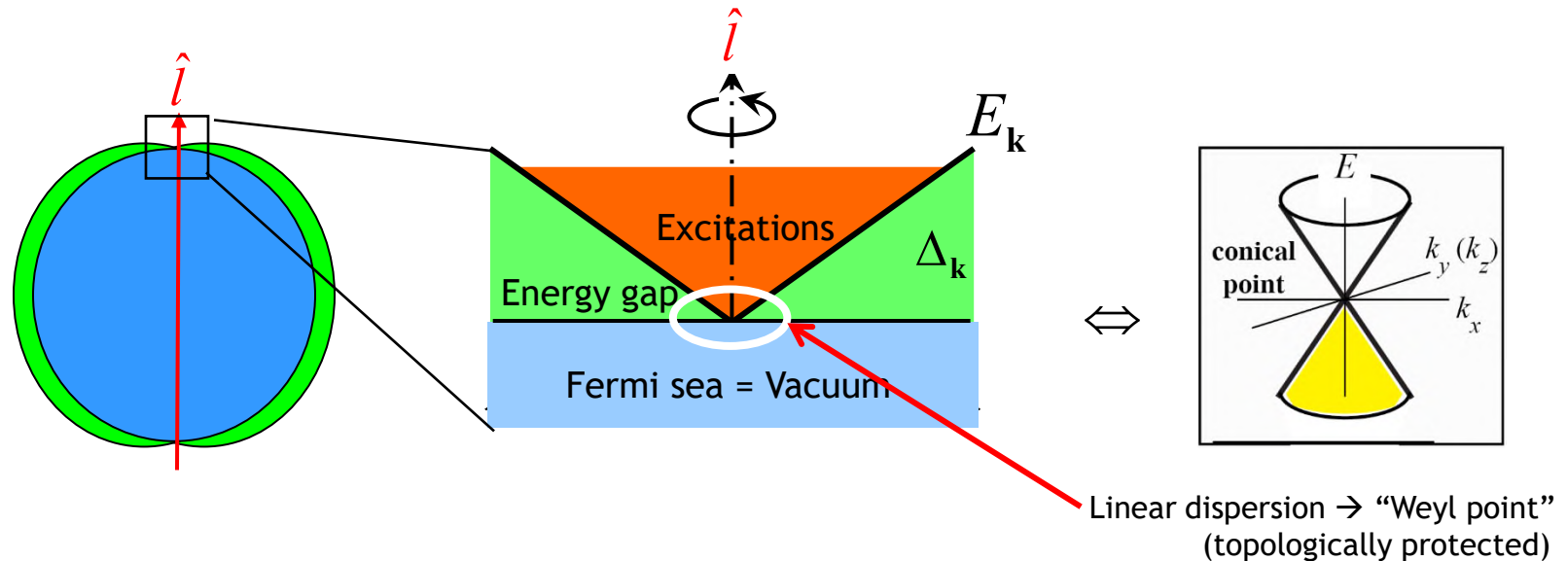
- heavy fermion superconductors (CeCu_2Si_2 , UPt_3 , ...)
- high- T_c (cuprate) superconductors

Stabilized by strong-coupling effects

Anderson, Brinkman (1973)

³He-A: Spectrum near point nodes

Volovik (1987, 2003)



$$E_{\mathbf{k}}^2 = v_F^2 (k - k_F)^2 + \Delta_0^2 \sin^2(\hat{\mathbf{k}}, \hat{\mathbf{l}}) = \mathbf{g}^{ij} p_i p_j$$

covariant $\rightarrow$ Lorentz invariant

$$e = \begin{cases} +1 & \hat{\mathbf{k}} \parallel +\hat{\mathbf{l}} & \text{chirality "up"} \\ -1 & \hat{\mathbf{k}} \parallel -\hat{\mathbf{l}} & \text{chirality "down"} \end{cases}$$

$$\mathbf{A} = k_F \hat{\mathbf{l}}$$

$$\mathbf{p} = \mathbf{k} - e\mathbf{A}$$

- ³He-A: chiral superfluid
- quasiparticle excitations are Weyl fermions ("massless, chiral leptons" with $E(\mathbf{p}) = cp$)
- at Weyl point: spectral flow of fermionic charge $\rightarrow$ chiral (Adler) anomaly

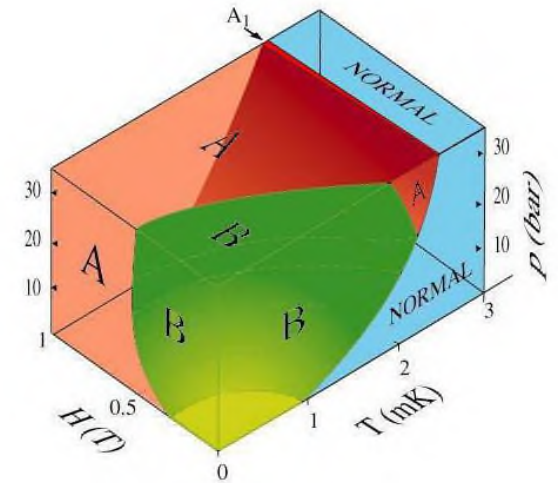
observed in ³He-A Bevan *et al.* (1997)

³He-A: example of an emergent quantum field theory

A_1 -phase in finite magnetic field

Spin state $|\uparrow\uparrow\rangle$ only

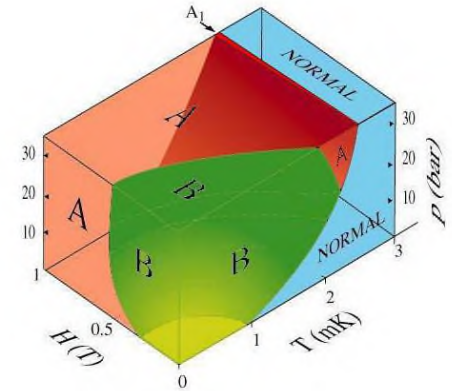
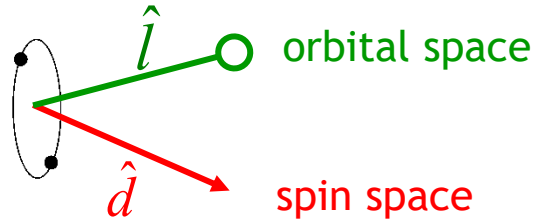
Long-range ordered magnetic *liquid*



Broken symmetries and long-range order in superfluid ^3He

Cooper pairs with $L=1$, $S=1$ in all 3 phases

$L=1$, $S=1$: 3 projections each,
describable by 3-dim. vectors $\hat{l}$, $\hat{d}$
("characteristic directions")



Macroscopic quantum coherence + LRO of the	{	pair amplitude	→ superfluid
		characteristic direction in orbital space	→ liquid crystal
		characteristic direction in spin space	→ magnet

Order characterized by $2 \times (2L + 1) \times (2S + 1) = 18$ real numbers

Order parameter: complex 3x3 matrix $A_{i\mu}$

$SO(3)_S \times SO(3)_L \times U(1)_\varphi$ symmetry spontaneously broken Leggett (1975)

→ high-dimensional / topologically rich order-parameter space

$^3\text{He-A}$

$$\text{SO}(3)_S \times \text{SO}(3)_L \times \text{U}(1)_\varphi$$

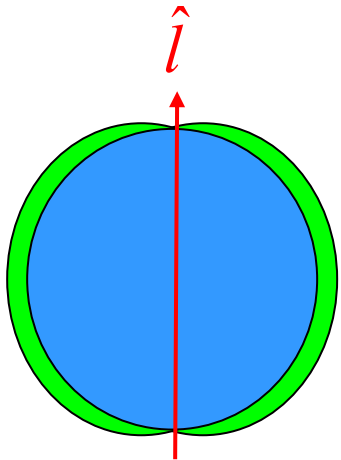


$$\text{U}(1)_{S_z} \times \text{U}(1)_{L_z - \varphi}$$

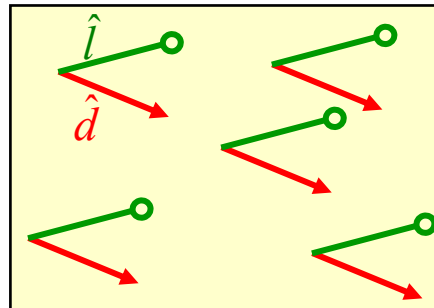
„Unconventional” pairing

Mineev (1980)

Bruder, Vollhardt (1986)



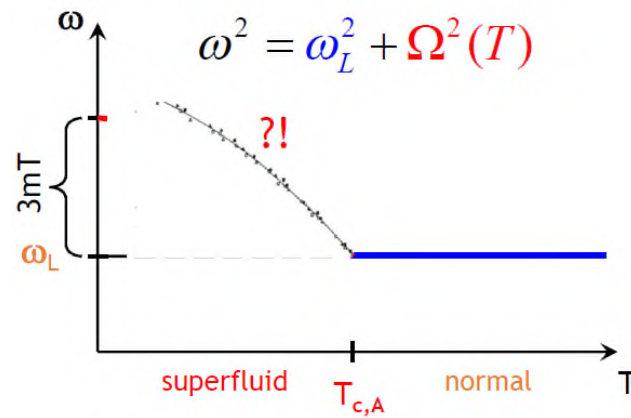
Cooper pairs



Long-range order of $\hat{d}, \hat{l}$

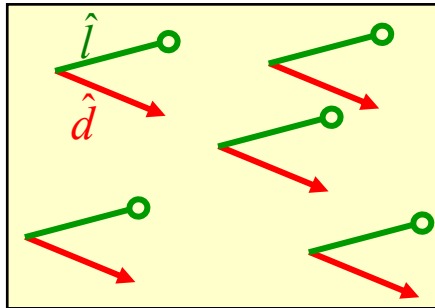
“Spontaneously broken spin-orbit symmetry” Leggett (1972-1974)

... solves the NMR mystery



NMR resonance in superfluid $^3\text{He-A}$

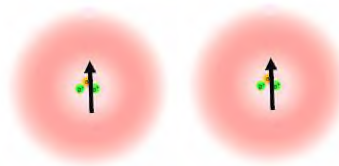
Cooper pairs



- Long-range order of $\hat{d}, \hat{l}$
- What fixes the *relative* orientation of $\hat{d}, \hat{l}$?

requires **spin-orbit** coupling:

→ Interaction of nuclear dipoles:

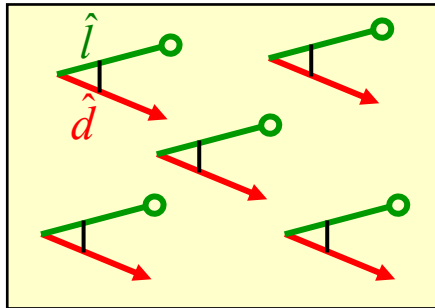


Dipole-dipole coupling of ^3He nuclei: $g_D \sim 10^{-7} K \ll T_c$

Unimportant ?!

NMR resonance in superfluid $^3\text{He-A}$

Cooper pairs



- Long-range order of $\hat{d}, \hat{l}$
- What fixes the *relative* orientation of $\hat{d}, \hat{l}$?

requires **spin-orbit** coupling:

$g_D \sim 10^{-7} K \ll T_c$: tiny, but lifts degeneracy of relative orientation

⇓ macroscopic quantum coherence

Fixes **relative** orientation of $\hat{d}, \hat{l}$ in all Cooper pairs

⇓

NMR frequency increases: $\omega^2 = (\gamma H)^2 + g_D \Delta^2(T)$

Leggett (1973)

Nobel Prize in Physics 2003

→ Nuclear dipole interaction is **macroscopically** measurable

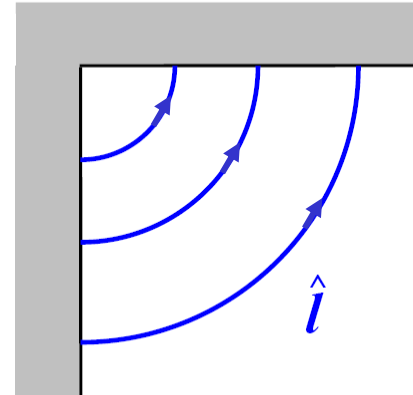
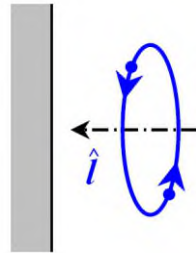
Orientation of the order parameter:

Textures and topological defects

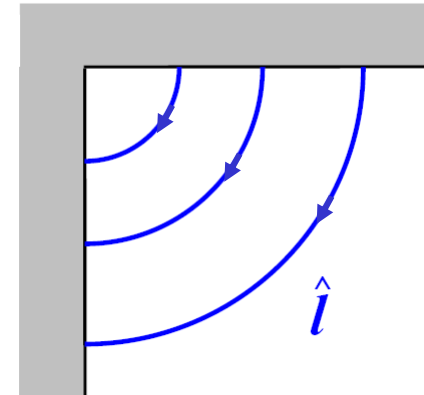
Orientation of the order-parameter in $^3\text{He-A}$

Macroscopic anisotropy directions $\hat{d}$, $\hat{l}$ are oriented by:

1) Walls $\rightarrow \hat{l}$



or



Torsional oscillator exp.: Chirality confirmed
Walmsley, Golov (2012)

2) Magnetic field $\rightarrow \hat{d}$

$\rightarrow \hat{d}, \hat{l}$ configurations generally non-uniform

“Textures” in $\hat{d}, \hat{l} \leftrightarrow$ liquid crystals

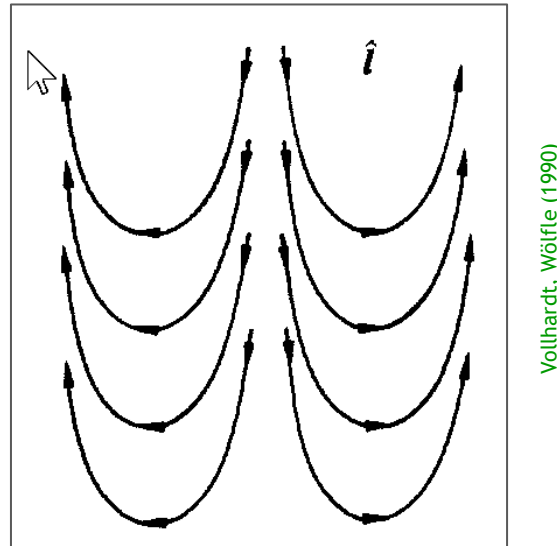
De Gennes (1974)

Orientation of the order-parameter in $^3\text{He-A}$:

Topological defects

Generated by rotation

Vortices ($d=1$)



e.g., Anderson-Toulouse vortex
(non-singular, two quanta of circulation)

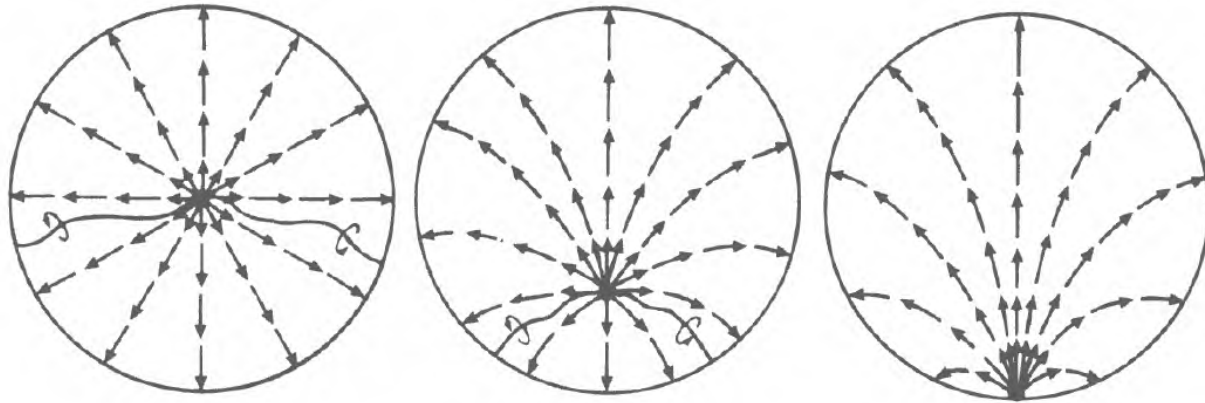
- At least 6 different types of vortices predicted
- 4 vortices experimentally observed (NMR)

Orientation of the order-parameter in $^3\text{He-A}$:

Topological defects

Generated by geometric constraints

Monopoles ($d=0$)



Vollhardt, Wölfle (1990)

Nanoscale confinement ($\sim \xi_0$)

→ Novel edge states: e.g., gapless ($E=0$) “Majorana” modes (fermionic quasiparticles)

Defect formation by

- rotation
- geometric constraints
- rapid crossing through a symmetry breaking phase transition

Universality in symmetry breaking phase transitions

High symmetry,
short-range order

$T > T_c$

Spins:
para-
magnetic

Helium:
normal
liquid

Big Bang

Universe:
Unified forces
and fields

$T = T_c$

Phase transition

Broken symmetry,
long-range order

ferromagnetic

superfluid

elementary
particles,
fundamental
interactions

Defects: domain
walls

vortices,
etc.

cosmic strings,
etc. **Kibble (1976)**

$T < T_c$

nucleation of galaxies?

Laboratory simulation of cosmic string formation in the early universe

System at $T < T_c$

Bäuerle *et al.* (1996)

1. Local temperature $T \gg T_c$

→ Expansion of hot bubble,
then rapid cooling through T_c



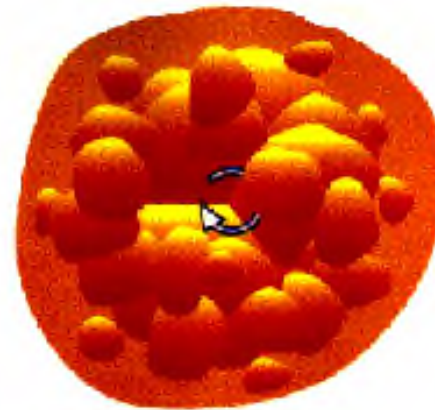
2. Nucleation of
independently
ordered regions



Clustering of
ordered regions
→ Defects form

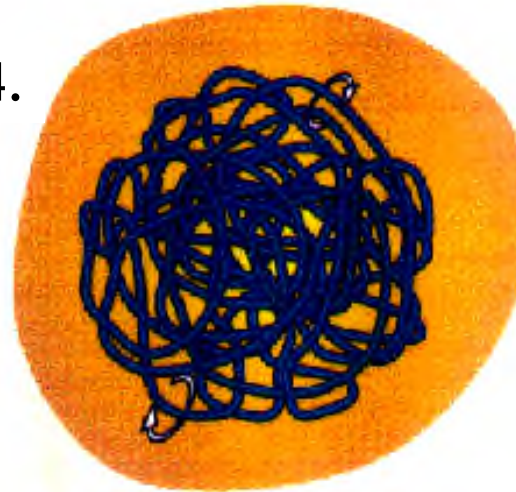


3.



Defects overlap

4.



Vortex tangle

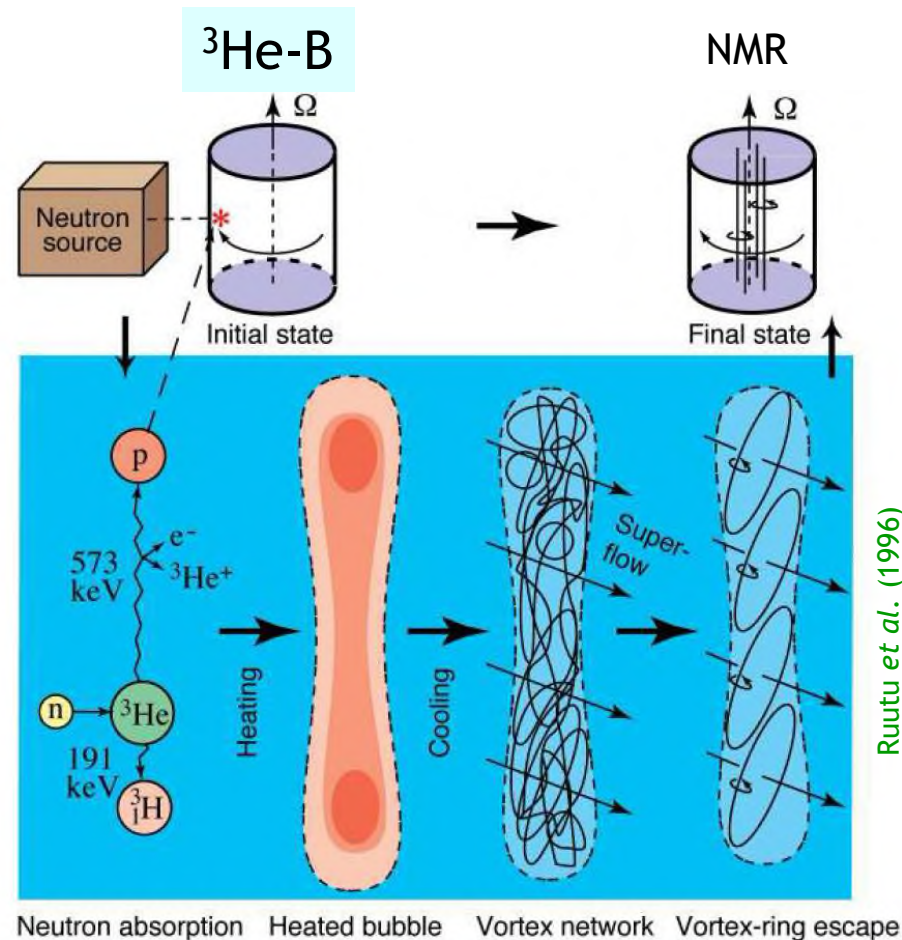
Estimate of density of defects: Zurek (1985)

"Kibble-Zurek mechanism" of defect formation: How to test?

Laboratory simulation of cosmic string formation in the early universe

Grenoble: Bäuerle *et al.* (1996)

Helsinki: Ruutu *et al.* (1996)



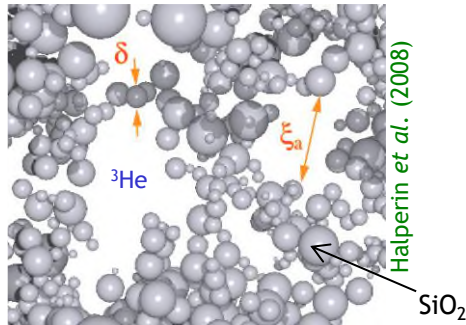
Measured vortex-tangle density:

Quantitative support for Kibble-Zurek mechanism

Recent developments

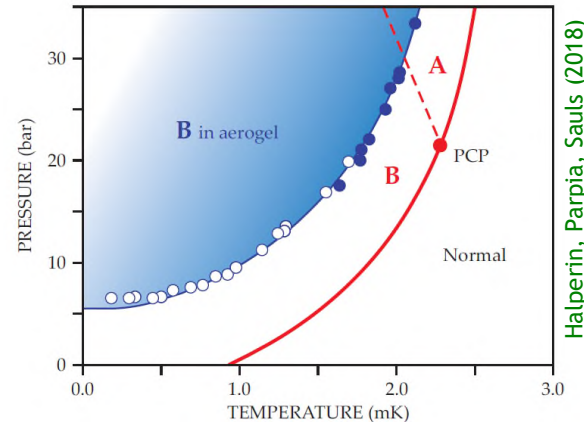
Influence of **disorder** on quasiparticle scattering in superfluid ^3He

^3He in 98% open silica aerogel



Halperin *et al.* (2008)

isotropic aerogel

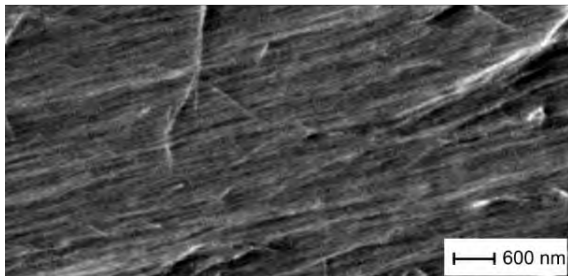


Halperin, Parpia, Sauls (2018)

Porto, Parpia (1995)

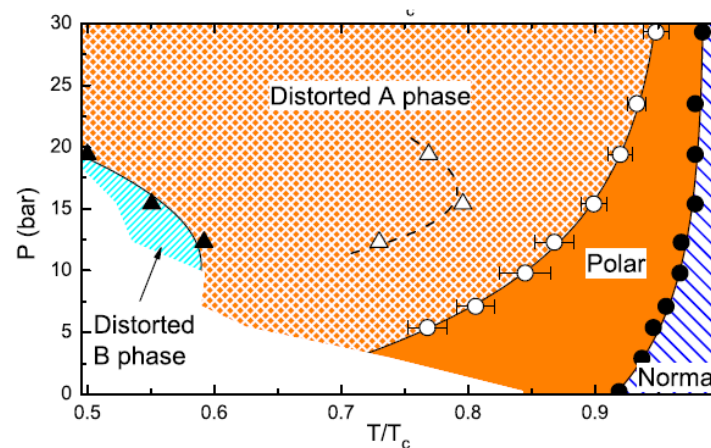
Sprague *et al.* (1995)

97.8% porosity Al_2O_3 strands ("nafen-90")



Dmitriev *et al.* (2016)

anisotropic aerogel



Dmitriev, Senin, Soldatov, Yudin (2015)

polar phase



Halperin, Parpia, Sauls (2018)

Polar phase: Cooper pairs align along strands of aerogel

Conclusion

Superfluid helium-3:

- Anisotropic fermionic superfluid (p-wave, spin-triplet pairing)
4 bulk phases known (A, B, A₁, polar)
- ³He-A exemplifies emergence of a quantum field theory
- Large symmetry-group spontaneously broken
Zoo of topological defects

